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*KAPST*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Schaarschmidt

Examiner:

Serial No.: 09/995,119

Group Art Unit:

Filed: November 27, 2001

Docket: 298-148

For: PROCESS AND DEVICE FOR MANAGING  
AUTOMATIC DATA FLOW BETWEEN  
DATA PROCESSING UNITS FOR OPERATIONAL  
ORDER PROCESSING

Dated: April 25, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date  
Granted, dated January 25, 2002 for the above identified patent application, Applicant  
submits the following:

an executed Combined Declaration and Power of Attorney by the inventor;

a Verified English translation of the application in accordance with 37 C.F.R.

§1.52(d) (11pgs Specification, 5 pages Claims, 1 page Abstract and 1 page listing  
translation of drawings and executed verification of translation);

a check in the amount of \$195.00;

a copy of the Notice to File Missing Parts of application; and

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service  
as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents,  
Washington, D.C. 20231.

Dated: April 25, 2002

  
George M. Kaplan

09995119-050602

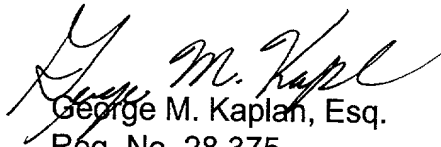
a petition for an automatic one month extension of time under 37 C.F.R.

§1.136(a) (in triplicate) together with the petition fee.

It is believed that no other fees will be incurred as a result of this response.

However, please charge any deficiency or credit any overpayment of such fees to deposit account 04-1121. Also in the event that any extensions of time are required for this response, please treat this paper as a petition to extend the time as required and charge deposit account no. 04-1121 therefore. **TWO COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

  
George M. Kaplan, Esq.  
Reg. No. 28,375  
Attorney for Applicant(s)

DILWORTH & BARRESE  
333 Earle Ovington Blvd.  
Uniondale, NY 11553  
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AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

The fee has been calculated as shown below:

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS*	20	20	0	x 9 =	\$0	x 18 =	\$0
INDEPENDENT CLAIMS	1	3	0	x 42 =	\$0	x 84 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				140		280	\$0

TOTAL: \_\_\_\_\_

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231

Dated: April 5, 2002

George M. Kaplan

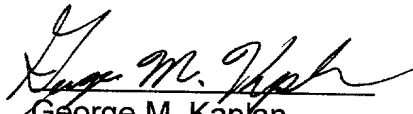
[ ] Please charge Deposit Account No. 04-1121 in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.

[ ] A check in the amount of \$\_\_\_\_\_ is enclosed.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

DILWORTH & BARRESE, LLP  
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Uniondale, NY 11553  
(516) 228-8484

Respectfully submitted,

  
George M. Kaplan  
Reg No. 28,375  
Attorney for Applicant(s)

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## UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 06 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,119	11/27/2001	Erich Schaarschmidt	298-148

CONFIRMATION NO. 9758

## FORMALITIES LETTER



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Rocco S. Barrese, Esq.  
DILWORTH & BARRESE, LLP  
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Uniondale, NY 11553

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 01/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 195.**

05/07/2002 WASHINGTON 00304.00 1107011

01 FD:205  
02 FD:135

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE